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PTO/SB/05 (2/98)

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08/03/00 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

8500629C1

First Inventor or Application Identifier

Shin Hwa Li

Title

SEMICONDUCTOR STRUCTURE HAVING AN IMPROVED PRE-METAL DIELECTRIC STACK AND METHOD FOR FORMING SAME

Express Mail Label No.

EL615231713US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

1. ☒ General Authorization Form & Fee Transmittal  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Pages] 10  
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets] 2

4. Oath or Declaration [Total Pages] 2

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☒ Incorporation By Reference (useable if box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer-Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☒ Other: Certificate of Express Mail  
Check

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☒ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: 09/061,667

Prior application information: Examiner Eaton, K Group / Art Unit 2823

☐ Claims the benefit of Provisional Application No. \_\_\_\_\_

## CORRESPONDENCE ADDRESS

David V. Carlson  
Seed Intellectual Property Law Group PLLC  
701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900, Fax: (206) 682-6031

Respectfully submitted,

TYPED or PRINTED NAME David V. Carlson

REGISTRATION NO. 31,153

Date Aug 3, 2000

SIGNATURE

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PATENT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shin Hwa Li and Annie Tissier  
 Title : SEMICONDUCTOR STRUCTURE HAVING AN IMPROVED  
 PRE-METAL DIELECTRIC STACK AND METHOD FOR  
 FORMING THE SAME  
 Docket No. : 850063.529  
 Date : August 3, 2000

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, DC 20231

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)  
AND FEE TRANSMITTAL

Assistant Commissioner for Patents:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1090.

With respect to the above-identified application, the fee is calculated below:

For	Number filed	Number extra		Rate		
Basic Fee	690					\$ 690
Total Claims	17	0	X	\$ 18	=	\$ 0
Independent Claims	3	0	X	\$ 78	=	\$ 0
Multiple Dependent Claim					+	\$ 0
TOTAL FILING FEE						\$ 690
Extension-of-time fee (parent)					+	\$ 870
TOTAL						\$ 1,560

A check in the amount of \$1,560 is enclosed to cover the filing fee.

The Assistant Commissioner is authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required, or credit any overpayment, to Deposit Account No. 19-1090. A duplicate copy of this request is enclosed.

Date

August 3, 2006

  
David V. Carlson

Registration No. 31,153

DVC:aep

Seed Intellectual Property Law Group PLLC  
701 Fifth Avenue, Suite 6300  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shin Hwa Li – Clendale, Arizona  
Annie Tissier – Scottsdale, Arizona

Filed : August 3, 2000

For : SEMICONDUCTOR STRUCTURE HAVING AN IMPROVED  
PRE-METAL DIELECTRIC STACK AND METHOD FOR  
FORMING THE SAME

Docket No. : 850063.529

Date : August 3, 2000

Box Patent Application  
Assistant Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Assistant Commissioner for Patents:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL615231713US, on August 3, 2000, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC



Jeanette West/Susan Johnson

Enclosures:

Postcard  
Check for \$1560  
Form PTO/SB/05  
General Authorization Under 37 C.F.R. § 1.136(a)(3) and Fee Transmittal (+ copy)  
Copy of Specification, Claims, Abstract (10 pages)  
Copy of 2 Sheets of Drawings (Figures 1-6)  
Copy of Declaration and Power of Attorney  
Information Disclosure Statement, Form PTO-1449, and New Cited References (6)  
Petition for 3-Month Extension of Time in Parent 09/061,667 (+ 2 Copies)

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shin Hwa Li and Annie Tissier  
Application No. : 09/061,667  
Filed : April 16, 1998  
For : A SEMICONDUCTOR STRUCTURE HAVING AN IMPROVED  
PRE-METAL DIELECTRIC STACK AND METHOD FOR  
FORMING THE SAME

Examiner : Kurt Eaton  
Art Unit : 2823  
Docket No. : 98-P-009 (850063.529)  
Date : August 3, 2000

Box AF  
Assistant Commissioner for Patents  
Washington, DC 20231

PETITION FOR AN EXTENSION OF TIME

Assistant Commissioner for Patents:

Applicants herewith petition the Assistant Commissioner of Patents for a three-month extension of time for filing the response to the Examiner's Action dated February 3, 2000, from May 3, 2000 to August 3, 2000. Submitted herewith is a check for \$1,560, which includes \$870 to cover the cost of the extension requested herein.

The Assistant Commissioner is authorized to charge payment of any fees under 37 C.F.R. §§ 1.16 and 1.17 associated with this communication or credit any overpayment to Deposit Account No. 19-1090. This petition is being submitted in triplicate.

Respectfully submitted,

Shin Hwa Li and Annie Tis

Seed Intellectual Property Law Group PLLC

  
David V. Carlson

Registration No. 31,153

DVC:jks

Enclosures:

Postcard  
Check for \$1,560  
Two copies of this Petition  
701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031